



CORPORATE & SOCIAL RESPONSIBILITY POLICY

February 2023

TABLE OF CONTENTS



01

Introduction

02

General Principles

03

CSR Activities

04

Selection Process

05

Monitoring

This document contains the framework, processes, and associated procedures carried out by BluePeak Capital LLP (referred to as “BluePeak” or the Company) to integrate social and environmental concerns into its business and interactions with stakeholders.

BluePeak’s Corporate Social Responsibility (“CSR”) goal is to positively impact society and deliver social, environmental, or economic value. We focus on creating value for our business, society, and the communities we interact with.

In addition, through BluePeak Private Capital Fund, BluePeak actively measures and demonstrates impact management as a committed member, signatory, and advocate of market-shaping initiatives and coalitions such as UN Principles for Responsible Investment, the Operating Principles for Impact Management, and the 2x Challenge.

We aim to achieve this by contributing to the economic and social development of the communities and safeguarding the environment and leveraging strengths in collaboration and raising awareness. BluePeak’s CSR activities will focus on the themes (“CSR Themes”) below:

WOMEN EMPOWERMENT

PROMOTE GENDER EQUALITY AND EMPOWER WOMEN



ENVIRONMENTAL SUSTAINABILITY

SUPPORT ENVIRONMENTAL SUSTAINABILITY, ECOLOGICAL BALANCE, AND CONSERVATION OF NATURAL RESOURCES



LIVELIHOODS ENHANCEMENTS

IMPROVE WELLBEING AND ALLEVIATE POVERTY



GENERAL PRINCIPLES

THIS POLICY IS THE RESPONSIBILITY OF THE HEAD OF SUSTAINABILITY AND STAKEHOLDER ENGAGEMENT AND WILL BE UPDATED AND AMENDED FROM TIME TO TIME.



Compliance with current national and international laws and regulations in all countries where BluePeak operates in, adopting complementary international rules and guidelines such as those established by the OECD and International Labor Organization (ILO) agreements, wherever there is not a sufficient or appropriate legal framework.



Commitment to promoting equality and diversity as well as a culture that actively values difference and recognizes that people from different backgrounds and experiences can bring valuable insights to the workplace and enhance the way we work. We recognize that discrimination is unacceptable, and equality of opportunity is a feature of our employment practices and procedures, as we strive to recruit, develop, and retain the most talented people.



Maintaining corporate governance practices based on ethics, business transparency and diversity. BluePeak has internal mechanisms to comply with the OECD AML/CFT requirements for preventing, monitoring, and reporting, any practice that occurs within the Company.



Promotion of social responsibility, with participation in national and international initiatives and organizations that promote behavior and commitment aligned with the content of this CSR Policy.



Conducting its activity with a firm commitment to contribute to sustainability from an environmental perspective, materialized through the integration of the environment into the business, the promotion of environmental responsibility, efficient management of resources as well as adaptation and mitigation of climate change, in accordance with what is established in the Company's ESG Policy and Environmental and Social Management System.



CSR ACTIVITIES



ALL CSR ACTIVITIES SHOULD:

01. REFLECT AT LEAST ONE OF THE CORE CSR THEMES.
02. HAVE CLEAR OBJECTIVES AND MEASURABLE IMPACT
03. BRING A LASTING BENEFIT TO THE COMMUNITY.
04. BE CAPABLE OF EVIDENCING BLUEPEAK'S COMMITMENT TO THE COMMUNITY
05. ALLOW FOR BLUEPEAK'S STAFF ENGAGEMENT THROUGH VOLUNTEERING WHERE POSSIBLE OR APPROPRIATE
06. BE DIVERSIFIED GEOGRAPHICALLY ACROSS THE CONTINENT.
07. BE FORMALLY DOCUMENTED

CSR ACTIVITIES SHOULD AVOID:

01. SPONSORSHIP OF INDIVIDUALS, FOR-PROFIT ORGANIZATIONS AND, ORGANIZATIONS THAT SERVE A LIMITED OR RESTRICTED MEMBERSHIP
02. MAKE ANY PAYMENT DIRECTLY OR INDIRECTLY TO POLITICAL PARTIES
03. SPORTING EVENTS OR SPORTS TEAMS
04. PAYMENTS OF SALARIES
05. CONSTRUCTION OF BUILDINGS OR PURCHASE OF LAND OR PROPERTY
06. ZOOLOGICAL PARKS SHOULD NOT NORMALLY RECEIVE FUNDING FOR CAPTIVE CONSERVATION PROJECTS
07. THE ENDOWMENT OF UNIVERSITY CHAIRS OR DEVELOPMENT PROGRAMS

CSR ACTIVITIES



ANNUAL BUDGET & GEOGRAPHIC DIVERSIFICATION

- The Fund's CSR activities will cover the **African continent** and will ensure that initiatives are geographically diversified, using its best efforts to fund initiatives in a new country every year.
- The CSR Committee will ensure that any unspent/unutilized CSR budget of a particular year will not form part of the business profit and will be added to the budget of the following year.

CSR ACTIVITIES



CSR GOVERNANCE AND SELECTION OF PARTNER ORGANIZATIONS

BluePeak established a CSR Committee composed of 5 members: the Managing Directors, the E&S Officer, the Finance & Operations Director, and the Head of Sustainability and Stakeholder Engagement.

- The CSR Committee is responsible for the development and funding of activities that support communities in which they operate and are in adherence to the provisions of the CSR Policy. The CSR Committee is responsible for establishing BluePeak's CSR Objectives, Targets, and KPIs as applicable, and reviewing these at least annually.
- BluePeak will undertake such CSR programs/projects/activities as approved by the CSR Committee. The CSR Committee should approve the following:

 The annual budget for CSR activities

Partner Organizations, CSR activities, modalities of execution, and implementation schedules

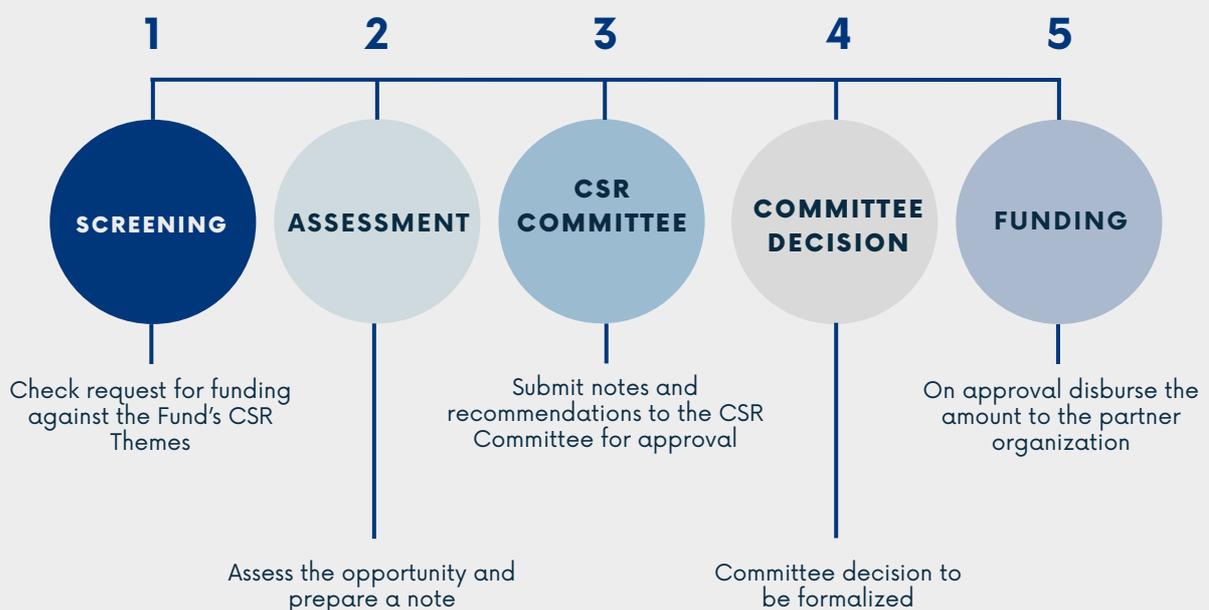
The monitoring process of such CSR activities

- The CSR Committee will ensure activity selection is made after a sensible assessment of the prospective beneficiaries' standing, that potential risks have been identified and the relationship is aligned with the CSR Themes.
- No less than four (4) members are required for a quorum to be present. Decisions will require an affirmative vote of four (4) out of five (5) CSR Committee members.

SELECTION PROCESS



THE FOLLOWING PROCESS WILL BE FOLLOWED BEFORE COMMITTING AND DISBURSING:



MONITORING



The CSR Committee is responsible for gathering information on the social and environmental impact of programs and projects undertaken. Output and impact measures will be monitored for all projects.

The CSR Committee will ensure that the partner organizations monitor and report on the outputs and impacts of their projects. Projects will be monitored through half-yearly progress reports, annual reports along with fund utilization reports, and field visits of projects.

All activities are reported at the end of each financial year in the Fund's Sustainability Report and will be publicly disclosed on BluePeak's website.



**BluePeak Sustainability
& Stakeholder
Engagement Team**